FEB 1 9 2003

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

\$5 BD

First Named

Inventor : Michael V. Calcagno et al.

Appln. No.: 10/047,462

Filed

: January 14, 2002

For

SEMANTIC ANALYSIS SYSTEM FOR INTERPETING LINGUISTIC

STRUCTURES OUTPUT BY A

NATURAL LANGAUGE LINGUISTIC

ANALYSIS SYSTEM

Docket No.: M61.12-0406

Group Art Unit: 2151

Examiner: ---

RECEIVED

FEB 2 0 2003

Technology Center 2100

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, JHIS

SONZ Q Z GO

PATENT ATT

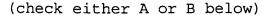
Sir:

The patents or publications liteted on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

### TIME OF FILING

The information disclosure statement is being filed:

- 1. x with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
- 2. \_\_\_ after the time period specified in paragraph 1 above,
   but before the mailing date of a final action under 37
   C.F.R. § 1.113 or notice of allowance under 37 C.F.R.
   § 1.311. Therefore, in accordance with 37 C.F.R. §
   1.97(c), submitted herewith is:



- A. a statement as specified in 37 C.F.R. § 1.97(e).
- B. \_\_\_ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
  - A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

### STATEMENT

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify  $\frac{1}{2}$ 

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

# METHOD OF PAYMENT

x No fee is required.

\_\_\_\_Attached is a check in the amount of \$\_\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

₹:

Joseph R. Kelly, Reg. No. 34,847 Suite 1600 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319 Phone: (612) 334-3222

Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg

1 4 5			
FORM PTO-1449	Atty. Docket No.: M61.12-0416	Appl. No.: 10/047,462	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:		
	Michael V. Calcagno	et al.	
	Filing Date	Group Art:	
	January 14, 2002	2151	

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB		,				·
AC					חרים	
AD					HECE	IVED
AE					I .	0 2003
AF				Т		
AG				•	connoingy	Center 2100
AH						
AI						
LA						
AK			-			

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	1	to Modeltheoretic Semantics of Natural Representation Theory. By: Hans Kemp et al. and 2
	AP		
	AQ		
EXAMIN	IER:		DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.